



*Bas Seq / PCT/PTO*  
**JC17 Rec'd PCT/PTO** 12 JUL 2001  
Atty. Dkt. No 017227-0171

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Barry Ross MATTHEWS et al.

Title: INHIBITION OF TOXIC  
MATERIALS OR SUBSTANCES  
USING DENDRIMERS

Appl. No.: 09/786,972

Filing Date: 03/13/2001

Examiner: Unassigned

Art Unit: Unassigned

**TRANSMITTAL OF RESPONSE TO NOTICE TO COMPLY**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to Comply with Requirements for Sequence Listing  
mailed on April 12, 2001, in which a response is due to be filed on June 12, 2001,  
transmitted are:

- ☒ [ X ] Petition for Extension of Time and fee (\$110; Ck No. 13407 )
- ☒ [ X ] Copy of PTO Notice to Comply.
- ☒ [ X ] Amendment In Response To Notice to Comply with Requirements for  
Sequence Listing directing its entry into the specification.
- ☒ [ X ] A statement that the content of the substitute paper and CRF are  
identical and, where applicable, include no new matter.
- ☒ [ X ] A paper copy of the Sequence Listing.
- ☒ [ X ] A computer readable form (CRF) copy of the Sequence Listing.

The Commissioner is hereby authorized to charge any additional fees which may  
be required regarding this application under 37 C.F.R. §§1.16-1.17, or credit any  
overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed  
herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise

improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

July 12, 2001  
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Washington Harbour  
3000 K Street, N.W., Suite 500  
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By

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/786972	ROSS	B 017227/0171
INTERNATIONAL APPLICATION NO.		
PCT/AU99/00762		
I.A. FILING DATE	PRIORITY DATE	
13 SEP 99	14 SEP 98	

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**OLP**  
JUL 1 2 2001  
PATENT & TRADEMARK OFFICE

DATE MAILED: **12 APR 2001****NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- ☒ The application fails to comply with the requirements of 37 CFR 1.821-1.825.
- ☐ This application does not contain, a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- ☐ A copy of the "Sequence Listing" in computer readable format has not been submitted as required by 37 CFR 1.821(e).
- ☐ A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ The paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ Other: \_\_\_\_\_

**APPLICANT MUST PROVIDE:**

- ☒ An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- ☒ An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☐ A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE  
CALL:

(703) 308-4216, for Rules interpretation,  
(703) 308-4212, for CRF submission help,  
(703) 287-0200, for PatentIn software help.

Sequence Listing 6-19-01

# 6  
Rec'd PCT/PTO

12 JUL 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: 017227/0171

In re patent application of

MATTHEWS, BARRY ROSS et al

Serial No. 09/786,972

Filed: March 13, 2001

For: INHIBITION OF TOXIC MATERIALS OR SUBSTANCES USING DENDRIMERS

STATEMENT TO SUPPORT FILING AND SUBMISSION IN  
ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**Box SEQUENCE**

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

1. the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter;

2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same; and

3. all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

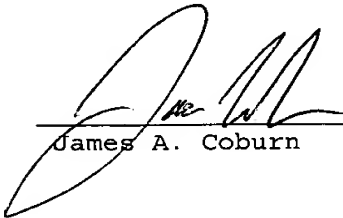
Serial No. 09/786,972

States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

June 7, 2001  
Date

**HARBOR CONSULTING**  
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James A. Coburn